

**OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.**  
**ATTORNEYS AT LAW**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In application: :

Krzysztof Matyjaszewski et al :

Serial Number: 08/414,415 :

Filed: March 31, 1995 :

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 28, 1995.

Andrew D. Fortney, Ph.D.

Name of Registered Rep.

Signature

Date

For NOVEL (CO)POLYMERS AND A NOVEL POLYMERIZATION PROCESS BASED ON ATOM (OR GROUP) TRANSFER  
RADICAL POLYMERIZATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: Applicant(s) wish to disclose the following information:

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ Please charge Deposit Account No. 15-0047 with the fee required under 37 CFR § 1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application. Copies of the issued patents are attached hereto.
- ☐ Please charge Deposit Account No. 15-0047 with the fee required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that:

- ☐ Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. Please charge Deposit Account No. 15-0047 with the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0047. A duplicate copy of this sheet is enclosed.

DATE November 28, 1995  
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